

QUESTIONNAIRE FOR POLITICALLY EXPOSED PERSONS

The Bank is obliged to establish an appropriate risk management system when establishing a business relationship or conducting transactions, including risk assessment procedures to determine whether a party or real owner of a party is politically exposed person.

A politically exposed person (PEP) is any natural person who has acted or has been active in a public office of a Member State of the European Union, European Economic Area or a third state for the past 12 months, including members of his immediate family and persons known to be close associates of politically exposed persons.

Family members of politically exposed persons are:

1. a spouse or a person with whom PEP is outside the spousal community
2. children and their spouses or persons with whom PEP children are outside the spousal community or
3. parents of politically exposed persons.

A close associate of a politically exposed person is any natural person:

1. which is known to have a joint real ownership of a legal person or legal arrangement or any other close business relationship with a politically exposed person or
2. which is the only real owner of a legal person or legal arrangement that is known to have been established for the benefit of a politically exposed person.

Regarding the implementation and compliance with the Money Laundering Prevention Act, please answer the following questions correctly:

1	Do you work in a state of your permanent residence / habitual residence on a prominent public office (like state presidents, government presidents, ministers and their deputies or state secretaries and assistant ministers)?	YES	NO
2	Are you a member of parliament / elected member of the legislature?	YES	NO
3	Are you a member of the governing bodies of political parties?	YES	NO
4	Are you a judge of the Supreme and Constitutional Courts or other senior judicial official against whose decisions, except in exceptional cases, it is not possible to use the remedies?	YES	NO
5	Are you a judge of the courts of audit?	YES	NO
6	Are you a member of the council of central banks?	YES	NO
7	Are you ambassadors or senior officers of the armed forces?	YES	NO
8	Are you a member of the board of directors and supervisory boards of legal entities owned or majority owned by the state?	YES	NO
9	Are you a director, deputy director, board member or person performing equivalent functions to an international organization?	YES	NO
10	Are you a head of municipalities, mayor or their deputy, that are elected on the basis of the law governing local elections in Croatia?	YES	NO
11	Are you a member of a close family of people above / above? 1. a spouse or a person with whom PEP is outside the spousal community, the person with whom PEP is in a life partnership or the person with whom PEP is in an informal life partnership 2. a child or spouses or persons with whom PEP children are outside the spousal community, the person with whom the PEP child is in a life partnership or the person with whom the PEP child is in an informal life partnership or 3. a parent of a politically exposed person	YES	NO
12	Are you a close associate of a person above / above? 1. as a natural person who is known to have joint real ownership of a legal person or legal arrangement or any other close business relationship with a politically exposed person 2. as a natural person who is the only real owner of a legal person or legal	YES	NO

	arrangement for which it is known to have been established for the benefit of a politically exposed person		
13	Please answer this question <u>only</u> if you have performed one of the functions of a politically exposed person in the previous period: Has it been more than 12 months since the abolition of the aforementioned high public duties?	YES	NO
14	In case you are a politically exposed person, (any of answers 1-12 is YES, or answer 13 is NO) fill out the form Client's statement on sources of assets and sources of funds (if your ASSETS CARD is not available to the Bank from public sources.)		

Thank you for your understanding!

With my signature, I confirm the accuracy and truthfulness of the data!
I will personally notify you if changes are made to the previously reported data and details.

(Name and surname of the person to whom the data relate, PIN)

Place, date: _____ Signature: _____

To be completed by the Bank:

(Name and surname of the Bank's employee)

(Signature of the Bank's employee)

Place, date: _____

Approval for establishing a business relationship:

Head of organisational Unit of the Bank: _____
(Name and surname)

(Signature)

Client statement on sources of assets and sources of funds

This statement is collected from the bank in accordance with the Law on Prevention of Money Laundering and Financing of Terrorism and other by-laws. According to the above, the Bank is obliged to ensure constant monitoring of the client's business relationship, which includes the collection and verification of data on:

- the source of the client's assets
- the source of the client's funds

***assets** is property of any kind, whether physical or not, movable or immovable, tangible or intangible and legal documents or instruments in any form, including electronic or digital, which prove ownership of property or a share in property of that kind

****source of assets** is the origin of total assets, for example, credit, inheritance or savings. It refers to the activity/way in which you acquired most of your property, regardless of the type of property, and regardless of whether the acquired property is located inside or outside the Republic of Croatia and whether it is included in a business relationship with a Bank or another financial institution.

Surname and Name

Adresa

Personal Identification
Number

Type of property		Source of property	
1. Real estate /description/	Value in EUR	Credit, loan, leasing, inheritance, income from self-employment, income from spouse/partner, income from capital or insurance, sales receipts	Current amount in EUR (valid for loans, leasing and loans)
- House		-	
- apartment		-	
- cottage		-	
- land		-	
- the rest		-	
Total real estate		Total	
2. Movable items / description /		Credit, loan, leasing, inheritance, income from self-employment, income from spouse/partner, income from capital or insurance, sales receipts	Current amount in EUR (valid for loans, leasing and loans)
- cars		-	
- the ship		-	

- the rest		-	
Total movable property		Total	
3. Financial assets		Credit, loan, leasing, inheritance, income from self-employment, income from spouse/partner, income from capital or insurance, sales receipts	Current amount in EUR (valid for loans, leasing and loans)
- deposits		-	
- stock		-	
- shares		-	
- the rest		-	
Total Financial assets		Total	
4. Other property		Credit, loan, leasing, inheritance, income from self-employment, income from spouse/partner, income from capital or insurance, sales receipts	Current amount in EUR (valid for loans, leasing and loans)
- works of art			
- the rest			
Total Other property			
5. Total assets		5. Overall debt sources	

Date, _____

_____ signature

* * with this signature, under material and criminal liability, I confirm that the information on assets and debt sources presented is complete and accurate

FILLING INSTRUCTIONS

ASSETS:

1. real estate - state the description of the real estate, the address where it is located and the number of the land registry extract and the cadastral municipality
2. Movable - state what kind of movables they are, the age of the movables and the address where they are kept
3. financial assets – specify the type of assets (deposits-where, stocks-whose, shares-which fund)
4. other assets – specify the type of asset and where it is located

SOURCES

1. specify the type of loan and the bank
2. specify the lender
3. state the name of the leasing company and its purpose
4. state whether the source of the property is an inheritance, income from self-employment, income from a spouse/partner, income from capital or insurance, receipts from sales...